

41
Docket No.: 59554-034



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | |
|-----------------------------|---|---------------------------|
| In re Application of | : | Customer Number: 20277 |
| Matthew N. DAILEY, et al. | : | Confirmation Number: 8795 |
| Application No.: 09/988,620 | : | Group Art Unit: 2176 |
| Filed: November 20, 2001 | : | Examiner: Mohammad ALI |

For: APPLICATION-SPECIFIC METHOD AND APPARATUS FOR ASSESSING
SIMILARITY BETWEEN TWO DATA OBJECTS

INFORMATION DISCLOSURE STATEMENT

Mail Stop IDS
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. It is respectfully requested that the documents be expressly considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.

In accordance with 37 CFR 1.17(p), please charge the fee of \$180.00 to Deposit Account No. 500417.

Application No.: 09/988,620

The relevance of each non-English language reference, if any, is discussed in the present specification.

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 500417 and please credit any excess fees to such deposit account.

Respectfully submitted,

MCDERMOTT WILL & EMERY LLP



Lawrence T. Cullen

Registration No. 44,489

600 13th Street, N.W.
Washington, DC 20005-3096
202.756.8000 LTC:MWE
Facsimile: 202.756.8087
Date: November 5, 2004



INFORMATION DISCLOSURE
CITATION IN AN
APPLICATION

(PTO-1449)

ATTY. DOCKET NO.
59554-034

SERIAL NO.
09/988,620

APPLICANT
Matthew N. DAILEY, et al.

FILING DATE
November 20, 2001

GROUP
2176

U.S. PATENT DOCUMENTS

| EXAMINER'S INITIALS | CITE NO. | Document Number Number-Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|---------------------|----------|---|--------------------------------|---|---|
| | | US | | | |
| | | US | | | |
| | | US | | | |
| | | US | | | |
| | | US | | | |
| | | US | | | |
| | | US | | | |
| | | US | | | |
| | | US | | | |
| | | US | | | |
| | | US | | | |
| | | US | | | |
| | | US | | | |
| | | US | | | |
| | | US | | | |
| | | US | | | |

FOREIGN PATENT DOCUMENTS

| EXAMINER'S INITIALS | CITE NO. | Foreign Patent Document Country Codes -Number 4 -Kind Codes (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines Where Relevant Figures Appear | Translation | |
|---------------------|----------|---|--------------------------------|---|---|-------------|----|
| | | | | | | Yes | No |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

| EXAMINER'S INITIALS | CITE NO. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | |
|---------------------|----------|---|--|
| | A | HOFMANN, THOMAS, "Probabilistic Latent Semantic Indexing", SIGIR '99, August 1999 Berkley, CA USA, Copyright 1999 ACM 1-58113-096-1/99/0007 | |
| | B | HOFMANN, THOMAS, "Learning the Similarity of Documents: An Information-Geometric Approach to Document Retrieval and Categorization", Advances in Neural Information Processing Systems 12, MIT Press (2000) | |
| | C | TODD, PETER M. and RUMELHART, DAVID E., "Feature Abstraction from Similarity Ratings: A Connectionist Approach", unpublished manuscript | |
| | D | STEYVERS, MARK and BUSEY, TOM, "Predicting Similarity Ratings to Faces Using Physical Descriptions", Predicting Similarity Ratings, (2000) | |

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.